FORM OF APPLICATION FOR REGISTRATION AS A STUDENT FOR THE DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)

N.B: 1. No Students shall be allowed to appear for the Ph.D. Examination unless he / she has taken the qualifying Masters Degree and paid the Entrance Test Fee.

2. Student holding the qualifying degrees of other Universities must provide a Certificate of Eligibility from this University at the time of applying for Ph.D. Course.

3. Applicants are requested to read carefully the Regulations given at the end before filling in the application and certify to that effect.

4. Students who are doing M.Phil are not eligible to register for Ph.D. Course simultaneously. They can register for Ph.D. Course only after the award of M.Phil. degree.

5. Candidates appearing for Entrance Test should fill-up the particulars of Part-I only.

To,
The Registrar,
Karnatak University,
Pavate Nagar
Dharwad-580 003

Sir,

I request that my name be registered as Post-graduate student of the Degree of Doctor of Philosophy of the Karnatak University in* ___________________________________________ as Full-time/Part-time/in the Dept. of ____________________________.

I intend to offer myself as a candidate for the Examination of Ph.D. the Degree of the submission of the thesis. My name has not been registered as a student for any other Degree or for any other branch of the Ph.D. Degree in any other University.

I declare that I have not registered for any other course as a regular candidate.
I hereby solemnly and sincerely affirm that the statement made and information furnished by me in this application and the enclosures submitted by me herein are true.

Date:  
Place:  

Yours faithfully,

Signature of the Applicant

* The Subject and branch in which the Candidate wishes to register for the Degree of Doctor of Philosophy

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**Part – I**

Name in full in block Capitals  Shri/Smt. ____________________________________________________ beginning with surname

Residential Address : ________________________________________________________________

Tel. No._______________________________ E-Mail_____________________________

Permanent Address : ________________________________________________________________

Tel. No._______________________________ E-Mail_____________________________

Nationality : __________________________ Category ____________________________
The examination passed by the applicant with the year of passing the name of the University subjects offered and the class obtained.

<table>
<thead>
<tr>
<th>Examination</th>
<th>Year</th>
<th>Class</th>
<th>University</th>
<th>Subjects Offered</th>
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<tr>
<td>a. Bachelor’s Degree_________</td>
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<td>b. Master’s Degree___________</td>
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<td>c. M.Phil_______________</td>
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<td>d. Any other Exam</td>
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Signature with seal of the Head of the Institution / College / etc. (Candidate for in service)

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**Part - II**

1. The full TITLE OF THE PROBLEM of research both in Kannada & English language (in Block Letters, There should not be overwriting)

1) Kannada (& Other MIL)

2) English

2. The name and signature of the Teacher* under whom the student proposes to work

   Signature
   Name Designation & Address (with seal)

3. The name & signature of Co-guide if any:

   Signature
   Name Designation & Address (with seal)

4. The name of the Institution where the applicant propose to do Research Work.
DECLARATIONS

1. I shall submit the progress reports regularly as per the rules of the University.
2. I shall pay the registration fee/term fee/fee for extended terms within the stipulated date.
3. I also undertake that failing to submit the progress reports/payment of fees within the stipulated period, my registration for Ph.D. Degree can be cancelled automatically.
4. I shall abide all relevant rules pertaining to the doctoral degree of the University.

Signature of the Candidate

FOR USE IN THE DEPARTMENT

1. Is the application approved by the Yes/No Resolution No: 
   Doctoral Committee Date: -

2. Signature of the Chairman of the Dept. K.U.D. (with Seal)

3. Signature of the Chairman, Doctoral Committee. (with Seal)
KARNATAK UNIVERSITY, DHARWAD
REGULATION GOVERNING DOCTORAL DEGREE PROGRAMME.

A Candidate may Present himself/herself for the degree of Doctor of Philosophy in any of the Universities covered under the Karnataka State University Act 2000, under the following Regulations:

The Degree of Doctor of Philosophy shall be conferred on the basis of the thesis specially prepared and submitted for the purpose. The Thesis shall embody the result of research carried out by the candidate in a Post Graduate Department of Studies or in an institution recognized by the University under the guidance of a person or persons approved by the University.

The Regulations to be followed are detailed below in the sections from one to nine.

1. ELIGIBILITY:

1.1. (a) A candidate who has obtained a Master’s degree from any University in the State or from any other University recognized as equivalent thereto securing not less then 55% marks in the aggregate at the master’s Degree Course as a whole shall be eligible to register for the Ph.D. Degree Programme. However, in the case of SC/ST and the Category-I candidates the Minimum marks required shall be 50%.

(b) Such of the teachers employed in affiliated colleges before 31.3.1992 and are continuing in service on a regular basis and desirous of registering for the Ph.D. Programme shall be permitted for registration having a minimum of 50% of marks at the master’s Degree Course.

(c) A candidate who is eligible as indicated in (a) / (b) above shall be selected on the basis of an Entrance Test administered by the University. The merit list of such candidates shall be prepared by allotting 50% of marks for Entrance Test and 50% of marks secured at the masters degree Course. However in case of a candidate who has passed the NET/SLET/GATE/JRF/ M.Phil or belongs to FIP/QIP Programmes, such candidates will not be required to appear for the Entrance Test. Further in the case of candidates selected to work under specialized funded projects and also in the case of Government sponsored foreign students,such candidates also need not appear for the Entrance Test. They shall be directly registered for the Ph.D. Programme keeping in mind their specialization and requirement to work under the projects/schemes, etc.,

1.2. The Subject of research shall be the one which relates to the main branch of knowledge chosen for master’s Degree. However, a candidate wishing to conduct research in a subject other than the one chosen for the Master’s Degree but which is of an interdisciplinary character is also eligible for registration, provided the research design is approved by the doctoral Committee.
1.3. A Department of Studies may have a part time course for Ph.D. for the Benefit of eligible teachers of Junior Colleges / Degree colleges of this University or any other Indian Universities / Research Institutions/Research Organizations and as also those working as librarians/Physical Education personnel.

2. DOCTORAL COMMITTEE:

2.1 (a) The composition of the Doctoral Committee shall be as follows:

1) Dean of the Faculty concerned                Chairman
2) Chairman, Department of Studies in the University
   Head of the recognized institution or the nominee  Member
3) Chairman of Board of Studies concerned.        Member
4) One expert from outside the University          Member
5) Recognised Guide/Guides                        Member
6) Deputy Registrar (Academic) any faculty
   Member nominated by the Vice-Chancellor          Convener

(b) The committee shall ordinarily meet twice in a year.

NOTE: In case the Dean of the Faculty is not available, the Chairman of the Concerned Board of Studies shall be the Chairman at a meeting.

1.4. Functions of the Doctoral Committee:

2.2. 
(a) To review applications received from registration and to recommend their acceptance or otherwise for registration.

(b) To permit change of guide/guides, and or change of Institution specifying the reasons for the change.

(c) To consider the half-yearly progress reports of candidates received through the guide.

(d) To recommend the recognition of guide (s).

(e) To permit change in the title of the thesis as recommended by the guide.

(f) To give permission for submission of the thesis.

(g) To carryout such other duties as the University may entrust the Committee from time to time in connection with the Ph.D. Programme

(All communications by the guide should be routed through the Chairman/Chairperson of the concerned Department or the Head of the Institution)

3. REGISTRATION:
3.1 Every University shall notify the Ph.D. Programme through a notification Published in leading News papers, once in a year preferably in the month of August/September.

3.2 A candidate shall apply for registration for the Ph.D. Programme in the prescribed form to the Registrar of the University. The application shall be forwarded through the recognised guide.

3.3. (a) Candidates for the Ph.D. Programme shall work in the University Post Graduate Departments or in any recognised Laboratory/Institute or the Department of an affiliated college within the University under a recognised Guide.

(b) In the case of Science subjects. A candidate may be allowed to work under a recognised guide in any of the affiliated college, provided the research centre/laboratory where the candidate wishes to work, is recognised for the purpose by an expert Committee constituted by the University.

(c) Every Department Council/Recognized Institution, shall prepare a merit list of candidates ensuring due representation to SC/ST category candidates and submit the same to the Doctoral Committee.

3.4 The Doctoral Committee shall verify the eligibility of the candidates by scrutinizing the documents and notify the list of the candidates. The registration shall come into effect from the date of enrolment. However, every candidate shall submit a Synopsis within six months of enrolment which shall be submitted to the Board of Studies concerned for approval. In all cases, the effective date of registration shall remain the date of enrolment.

3.5 No candidate shall be permitted to join any course of study other than that connected with Ph.D. Programme concerned or appear for any other examination conducted by the University or any other University during the period of registration.

3.6 Where a candidate, whose registration is confirmed and who has completed at least one year from the date of registration, desires to leave the jurisdiction of the University and continue to carry out his research project in an Organization/university/Institute/laboratory which has facilities for the concerned research, the candidate may be permitted to do so by the University and to continue registration on the recommendation of the concerned guide and the Doctoral Committee.

3.7. (a) Every full time candidate shall work for a minimum period of three years from the date of registration. The part time candidate however shall work for a minimum period of 4 years.
(b) The full time candidate should complete the research work and submit the thesis to the University within a maximum period of five years from the date of registration. In case of part time candidate the period for submission shall be six years.

(c) In exceptional cases extension beyond above period may be permitted by the Doctoral Committee on the recommendation of the guide for justifiable reasons and with the approval of the University for a maximum period of one more year.

(d) Under extraordinary circumstance affecting a student and warranting extension of period for submission of thesis, the student should write through the guide to the Registrar, who shall refer the same to the Doctoral Committee for its opinion. Which will be submitted to the Vice-Chancellor for consideration. The Vice-Chancellor’s decision in the matter is final

4. PROGRESS REPORTS:

4.1. After registration, every candidate shall submitted a half yearly progress report regularly through the guide to the Chairman, Department of Studies/Head of the Institution who shall forward it to the Convener of the Doctoral Committee.

4.2. The half-yearly progress report should cover the following aspects.

(a) The review of literature.
(b) New data acquired or techniques developed.
(c) Progress/Standardization in research methodology.
(d) Discussion of the work done including any new findings.

4.3. If a candidate fails to submit two consecutive half-yearly progress reports in time, or the progress reports submitted by the candidate are not satisfactory, the Doctoral Committee shall recommend to the University for cancellation of the registration. However, in all such cases the candidate must be heard.

5. GUIDE:

5.1. Eligibility

(a) All professor, Readers & Lecturers in P.G. Departments having Ph.D. Degree shall be eligible to guide students for the Ph.D. Programme provided that a Lecturer in addition should also have a total of five years teaching experience as a full time teacher. However, in all cases, the Committee shall satisfy that the person as a sustained record of post doctoral Research and Publications.

(b) Teachers working in the institutions recognized as Research center by the University concerned with Ph.D. Degree and having five years of experience as full time teachers are also eligible to be the guides. Provided that they have a sustained record of post Doctoral Research and publications.
5.2. The maximum number of candidates that a guide should supervise shall not exceed six at any time and at least one of the candidate shall belong to SC/ST category. However, in the case of funded projects granted to University the maximum may exceed beyond the above figure if such request is put forth by the Department concerned justifying with reasons for admitting extra candidates.

5.3. In the case of inter-disciplinary topic, a candidate may have two guides of which one is a guide and another a Co-guide. However, the Guide shall be from the parent University/Institution.

5.4. A change of guide may be allowed within a period of two years from the date of registration with the consent of both the guides and the approval from the Doctoral Committee and the University. However, in extraordinary case the Vice-Chancellor may permit the change of guide even beyond to years if such a need arises to protect the academic interest of the candidate.

6. SUBMISSION OF THE THESIS:

6.1 (a) A full time candidate who has completed minimum period of three years from the date of registration and not less than six months before the expiry of the period prescribed, shall submit an application along with five copies of synopsis of the thesis through the Guide to the Doctoral Committee for permission to submit the thesis. In case of part time candidate the minimum period shall be four years from the date of registration.

(b) After the approval, four copies of the Synopsis shall be sent by the Doctoral Committee to the Registrar (Evaluation), who shall proceed with the Constitution of Board of Examiners for the adjudication of the Ph.D. thesis.

6.2. (a) Every candidate shall before the submission of the final thesis submit himself/herself to a pre-submission colloquium in the Department concerned showing his/her preparedness to submit the thesis. He/she shall present the methodology followed and data collected. Further, each candidate should also have to submit evidence to show that he/she a minimum of two publications in the form of articles published on the topic of research.

(b) Not later than six months after obtaining permission from the University to submit the thesis, every candidate shall submit with the application four copies of the thesis, to the Guide who shall forward the same to the Registrar (Evaluation) for evaluation.

(c) Every candidate shall submit with the thesis, a certificate from the Guide that the thesis submitted is a record of research work done by the candidate during the period of the study, and that it has not previously formed the basis for the award of Degree/ Diploma/ Associate ship / Fellowship or other similar titles to any candidate. Along with the thesis the candidate shall also produce a ‘No Due
Certificate’ from the Chairman, Department of studies/Head of the Institution concerned and also from the University Librarian and the Hostel warden.

7. ADJUDICATION OF THE PH.D. THESIS:

7.1. for the Adjudication of the Ph.D. thesis, there shall be a Board of Examiners consisting of two external members to be chosen by the Vice-Chancellor from a panel of Examiners submitted by the concerned Guide and approved by the Board of Studies. The Panel submitted by the Guide shall have a list consisting of minimum ten Examiners. The Guide shall be the Chairman of the Board of Examiners.

7.2. Each external examiner appointed to adjudicate the thesis shall send the report to the Registrar (Evaluation) within two months from the date of receipt of the thesis. Such report should include:-

(i) A critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.

(ii) Details of any question to be asked or points to be clarified at the Viva-Voce Examination.

(iii) A definite recommendation as to whether the Ph.D. Degree should be awarded or not.

7.3. If both the examiners give definite recommendation against the award of the degree, the thesis shall be rejected. If one of the examiner gives a definite recommendation against the award of the degree, the thesis shall be referred to another external examiner. If the report of such examiner is favorable then the candidate shall be eligible to appear for the Viva-Voce examination or otherwise the thesis shall be rejected.

7.4. If one of the examiners makes a qualified recommendation with valid reasons, for example, to re-submit the thesis after suitable modifications, the Registrar (Evaluation) shall communicate to the candidate for resubmitting the thesis after suitable modifications within six months from the date of recommendation.

7.5. No candidate shall, however, be permitted to submit the thesis more than two times.

7.6. After the award of the Ph.D. Degree, the thesis may be published in any form under intimation to the University and 10 copies of the published work must be submitted to the University library for the purpose of record.

8. VIVA-VOCE EXAMINATION:

8.1. An open Viva-voce Examination shall be conducted on receipt of the satisfactory report on the thesis from all the examiners. The Viva-Voce shall be conducted by the Board constituted as follows:

(a) The Guide as Chairman
(b) Atleast one of the external examiners.

However, under extraordinary circumstances where any external examiner cannot be present at the Viva-Voce examination, the Vice-Chancellor may appoint an external substitute examiner from out of the approved panel.

(c) The Concerned Chairman of the University Department/ Head of the Institution.

8.2. The Viva-Voce examination should primarily be designed to test the understanding of the candidate on the subject matter of the thesis, including methodology employed and the competence in the general field of study. Any of the points raised by the examiners should be clarified during the Viva-Voce examination, and the reports of the examiners shall be made available to the Board for the Viva-Voce examination. At the Viva-Voce examination, the candidate shall produce all the relevant document, materials, preparations, publications, etc., connected with the research project.

9. AWARD OF PH.D. DEGREE:

9.1. After the candidate successfully completes the Viva-Voce examination, the Chairman BOE shall consolidate the recommendations for the award of Ph.D. Degree based on the following.

(a) The reports of the examiners who adjudicated the thesis.
(b) The evaluation of the candidate’s performance in the Viva-Voce examination.

9.2. The chairman, Board of Examiners shall submit the consolidated report to the Registrar (Evaluation) of the University. Based on this the candidate shall be awarded the Ph.D. Degree with the approval of the Vice-Chancellor.

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